



Docket No. 0575/54202/JPW/JML

In re application of: David Stern, et al.

Serial No.: 08/997,464

Examiner: J. Kerr

Filed: December 23, 1997

Art Unit: 1633

For: A METHOD FOR EVALUATING THE ABILITY OF A COMPOUND TO INHIBIT NEUROTOXICITY

April 4, 2001

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

S I R:

Transmitted herewith is an amendment to the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.

a verified statement to establish small entity status under 37 C.F.R. § 1.9 and § 1.27 is enclosed.

No additional fee is required.

The filing fee is calculated as follows:

	NUMBER AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	NUMBER OF EXTRA CLAIMS PRESENTED	RATE		FEE	
				SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	10	- * 33	= *** 0	x	9.00	18.00	= 0
Independent Claims	1	- ** 4	= *** 0	x	40.00	80.00	= 0
Multiple Dependent Claims(s) Presented For First Time:		Yes <input checked="" type="checkbox"/> No		135.00	270.00	0	
				TOTAL ADDITIONAL \$ 0 FEE			

*If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

**If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

***If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0" in the space.

Applicants: David Stern et al.

U.S. Serial Number: 08/997,464

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Amendment Transmittal Letter
Page 2

The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims as originally filed.

Please charge Deposit Account No. _____
in the amount of \$ _____.

- A check in the amount of \$ 625.00 is enclosed.
\$445.00 For the Three-Month Extension of Time fee and
\$180.00 For The Supplemental Information Disclosure Statement fee.
- The Commissioner is hereby authorized to charge
payment of the following fees associated with
this communication or credit any overpayment to
Deposit Account No. 03-3125. Three
copies of this sheet are enclosed.
- Any filing fees under 37 C.F.R. §1.16 for the
presentation of extra claims.
- Any patent application processing fees under
37 C.F.R. §1.17.

Respectfully submitted,

Jane M. Love

I hereby certify that this correspondence
is being deposited this date with the
U.S. Postal Service with sufficient
postage as first class mail in an
envelope addressed to:
Assistant Commissioner for Patents,
Washington, D.C. 20231.

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Expedited Response
Under 37 C.F.R. §1.116
Art Unit 1633

0575/54202/JRW/JML

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David Stern et al.
Serial No. : 08/997,464 Examiner: J. Kerr
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1185 Avenue of the Americas
New York, New York 10036
April 4, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

do not enter file
Box: AF

Sir:

**AMENDMENT IN REPLY TO OCTOBER 4, 2000 FINAL OFFICE ACTION
UNDER 37 C.F.R. §1.116,
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND
PETITION FOR A THREE-MONTH EXTENSION OF TIME**

This Amendment is submitted pursuant to 37 C.F.R. §1.116 in reply to the October 4, 2000 Office Action which was issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the October 4, 2000 Office Action was originally due on January 4, 2001. Applicants hereby request a three-month extension of time and a check including the FOUR HUNDRED AND FORTY FIVE DOLLAR (\$445.00) fee is enclosed. Applicants have previously established small entity status. Therefore, a reply is now due April 4, 2001. Thus, this Amendment is being timely filed.

Applicants are concurrently filing today a Notice of Appeal.

Please amend the subject application as follows:

04/10/2001 GTEFFERA 00000157 08997464

04/10/2001 GTEFFERA 00000156 08997464

01 FC:126

180.00 0P

01 FC:217

445.00 0P